



# ŐR NON-PROVISIONAL PATENT APPLICATION\*

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

Chemokine-Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activities

and for which a patent application:

or is attached hereto and includes amendment(s) filed on (if applicable)

us was filed in the United States on as Application No. (for declaration not accompanying application)

o was filed as PCT international Application No. on and was amended under PCT Article 19 on (if applicable)

Thereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

Lacknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, \$119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also

hereby claim foreign priority benefits under identified below any foreign application for pa	Fitle 35, United States Code, §1 stent or inventor's certificate ha LIEST FOREIGN APPLIC.	(19(a)-(d) of any to reign applications of the application of the appl	cation on which priorit	y is ciaimed.	
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APPLICATION NUMBER			YES of	NO a	
99107284.7	China	14 May, 1999	163 6		
Seater Code \$119(e) of any United States provisional application(s) listed below.					

Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

- 1	Limited States provisi	onal application(s) institution
	as Alaited States Code, \$119(e) of any Office States pro-	FILING DATE
	I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisi	FILING DATE
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1		ion(s) listed below and, insofar as the subject matter of each of the claims of this
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I hereby claim the benefit under Title 35, United States Crole, § 120 of any United States application(s) listed below and, insofar as the subject matter of cach of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 15, United States Code § 11.2, Lacknowledge the duity to disclose information known to me which is material to patternability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filling date of the prior application and the national or PCT international Titling dates of this amplication. information known to the which is material to patentiability as defined in 1706.3 application and the national or PCT international filing date of this application:

NON-PROVISIONAL				
APPLICATION SERAL NO.  PATENTED PENDING ABANDONED  ABANDONED  X			STATUS	
	APPLICATION SERAL NO.	PATENTED		ABANDONED

<sup>\*</sup> for use only when the application is assigned to a company, partnership or other organization. 1177814-I

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are parasitable by fine or supprisonment, or both, under Sent in 1001 of Tritle 18 of the United States Code and that such willful false statements may jouqualize the validity of the application or any patent issuing thereon.

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	LAST	NAME	Dalor					
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	FULL NAME OF INVENTOR	LAST NAME Huang	FIRST NAME Jiaqiang	MIDDLE NAME	-
2 0 6	RESIDENCE & CITIZENSHIP	OTY Beijing	STATE OR FOREIGN COUNTRY	China	ZIP CODE
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ι		SEGNATURE OF INVENTOR 206		DATE	
		Huang Jia Gi	eng	8/3/200/	
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3	RESIDENCE &	city Beijing	STATE OR FOREIGN COUNTRY	China	m.
	POST OFFICE ADDRESS	street No. 38 Xueyuan Road, Haidian District	ctty Beijing	STATE OR COUNTRY	ZIP CODE 100083
		SIGNATURE OF INVENTOR 207		8/3/200	·/
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	:	SHINATURE OF INVENTOR 200  Chen Guanghur	i	8/3/20	00



## IE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Beijing Medical University and Beijing Medical University United Biological Engineering Company

Application No.: To be assigned

Group Art Unit: To be assigned

Filed: On even date herewith

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with Attorney Docket No.: 10776-003-999

Chemotactic and Hematopoietic Stimulating

Activities

### POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints: S. Leslie Misrock (Reg. No. 18872), Berj A. Terzian (Reg. No. 20060), David Weild, III (Reg. No. 21094), Jonathan A. Marshall (Reg. No. 24614), Barry D. Rein (Reg. No. 22411), Stanton T. Lawrence, III (Reg. No. 25736), Charles E. McKenney (Reg. No. 22795), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Charles E. Miller (Reg. No. 24576), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Brian D. Coggio (Reg. No. 27624), Rory J. Radding (Reg. No. 28749), Stephen J. Harbulak (Reg. No. 29166), Donald J. Goodell (Reg. No. 19766), James N. Palik (Reg. No. 25510), Thomas E. Friebel (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Jennifer Gordon (Reg. No. 30753), Allan A. Fanucci (Reg. No. 30256), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Steven I. Wallach (Reg. No. 35402), Marcia H. Sundeen (Reg. No. 30893), Paul J. Zegger (Reg. No. 33821), Edmond R. Bannon (Reg. No. 32110), Bruce J. Barker (Reg. No. 33291), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Gary S. Williams (Reg. No. 31066), William S. Galliani (Reg. No. 33885), Ann L. Gisolfi (Reg. No. 31956), Todd A. Wagner (Reg. No. 35399), Scott B. Familant (Reg. No. 35514), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No.38100), Anthony M. Insogna (Reg. Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No.38100), Anthony M. Insogna (Reg. Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No.38100), Anthony M. Insogna (Reg. Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No.38100), Anthony M. Insogna (Reg. No.381

No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), Alan Tenenbaum (Reg. No. 34939), Michael J. Lyons (Reg. No. 37,386), Garland T. Stephens (Reg. No. 37,242) and William J. Sipio (Reg. No. 34,514), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto. CA 94304, all of Pennie & Edmonds LLP (PTO Customer No. 20583), as its attorneys to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Pennie & Edmonds LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

An assignment of the entire interest in the above-identified subject application:

was recorded on

is submitted herewith for recording.

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Please direct	all correspondence for this application to customer no. 20583.
ASSIGNEE: Signature: Typed Name: Position/Title: Address:	HAN Qide  Director  No. 38 Xueyuan Road, Haidian District, Beijing  100083, China
Date:	March 10, 200/



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application

Beijing Medical University and Beijing Medical University United

Biological Engineering Company of:

Application No.: To be assigned

Group Art Unit: To be assigned

Filed: On Even date herewith

Examiner: To be assigned

For: Chemokine Like Factors (CKLFs) with Attorney Docket No.: 10776-003-999

Chemotactic and Hematopoietic Stimulating

Activities

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Assistant Commissioner for Patents Washington, D.C. 20231

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Please	direct all correspondence for this application to customer no. 20583.
ASSIGNEE:	Beijing Medical University United Biological Engineering Company
Signature:	ZHU Renjing
Typed Name	ZHU Renying
Position/Title	Vice President
Address:	No. 38 Xueyuan Road, Haidian District, Beijing 100083, China
Date:	Mar. 10, 2007

An assignment of the entire interest in the above-identified subject application:

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: Application of: Ma et al.

Patent of:

: Application No .: To be assigned

Group Art Unit: To be assigned

Patent No.:

Examiner: To be assigned

; Filed: On Even date herewith

Issued:

For: Chemokine Like Factors (CKLFs) with

Attorney Docket No.: 10776-003-999

Chemotactic and Hematopoietic Stimulating Activities

#### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS [37 CFR 1.9(f) and 1.27(d)] - Nonprofit Organization

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of organization Beijing Medical University

Address of organization No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Type of organization

: University or other institution of higher education

Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))

Nonprofit scientific or educational under statute of state of the United States of America (Name of state

(Citation of statute\_ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and

501(c)(3)) if located in the United States of America.

Would qualify as nonprofit scientific or educational under statute of state of the United States of America if located in the United States of America

(Name of state

(Citation of statute

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

> : the specification filed herewith application no. filed patent no. issued

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above and/or there is an obligation under contract or law by the inventor(s) to convey rights to the nonprofit organization identified above with regard to the invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). FULL NAME Beijing Medical University ADDRESS No.38 Xueyuan Road, Haidian District, Beijing 100083 China INDIVIDUAL : SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION FULL NAME ADDRESS INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)] I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed. Direct Telephone calls to: PENNIE & EDMONDS LLP Send correspondence to: PENNIE & EDMONDS LLP 1155 Avenue of the Americas (212) 790-9090

New York, N.Y. 10036-2711 (212) 790-9090

Name of person signing HAN Qide

Title of person other than owner Director
Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature Date March 1, Obol.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)



: Application of: Ma et al. In re:

Patent of:

: Application No.: To be assigned

Group Art Unit: To be assigned

Patent No.:

: Filed: On Even date herewith

Examiner: To be assigned

Issued:

For: Chemokine Like Factors (CKLFs) with

Attorney Docket No.: 10776-003-999

Chemotactic and Hematopoietic Stimulating Activities

### VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS [37 CFR 1.9(f) and 1.27(c)] - Small Business Concern

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

I hereby declare that I am

the owner of the small business concern identified below: an official of the small business concern empowered to act in behalf of

the concern identified below: Name of organization Beijing Medical University United Biological Engineering

Address of organization No. 38 Xueyuan Road

Haidian District, Beijing 100083

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the person employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern and/or there is an obligation under contract or law by the inventor(s) to convey rights to the small business concern with regard to the invention entitled Chemokine Like Factors (CKLFs) with Chemotactic and Hematopoietic Stimulating Activity by inventor(s) Dalong Ma, Wenling Han, Yingmei Zhang, Quansheng Song, Chunhui Di, Jiaqiang Huang, Jian Tang, Guanghui Chen described in

: the specification filed herewith

application no. filed patent no. issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

iii business com	••••	
FULL NAME_I ADDRESS_No.	Beijing Medical University United 38 Xueyuan Road, Haidian Distr	Biological Engineering ict, Beijing 100083, China
INDIVIDUAL	SMALL BUSINESS CONCERN	: NONPROFIT ORGANIZATION
FULL NAME_ ADDRESS		
INDIVIDUAL	SMALL BUSINESS CONCERN	NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28 (b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, and patent issuing thereon, or any patent to which this verified statement is directed.

Send correspondence to:

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Name of person signing
ZHU Renying

Direct Telephone calls to:
PENNIE & EDMONDS LLP
(212) 790-9090

(212) 790-9090

Title of person other than owner Vice President
Address of person signing No. 38 Xueyuan Road, Haidian District, Beijing 100083, China

Signature ZHU Renging
Date May (1, 200)

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

(37 CFR 1.27)